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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/736,595

Confirmation No.: 5884

**Applicant** 

ARORA, et al.

Filed

December 17, 2003

TC/A.U.

1761

Examiner

N/A

Docket No.

1410/77081

Customer No.:

22242

Title:

PROCESS FOR SINGLE-STAGE HEAT TREATMENT

AND GRINDING OF COFFEE BEANS

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop \_\_\_\_ Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

# INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- (X) within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- () **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:
  - () the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).
  - () the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).
- () after the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).
- after the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and within thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

### Non-English Language Patents, Publications, or other Information

(X) In conformance with 37 CFR 1.98(a)(3)(i), a concise explanation of the relevance, as it is presently understood, of European patent document no. 0 787 528 B1, is set forth in the enclosed English-language abstract therefor.

The remainder of the non-patent references are in English.

or

#### Copies of the References

- (X) Copies of the non-U.S. patent references listed on the enclosed Form 1449 are enclosed herewith.
- () A copy of the European Search Report is enclosed herewith.
- () The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLI	S	Status (check o	one)	
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

#### Statement under 37 CFR 1.97(e)

- () The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- () The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

#### Statement under 37 CFR 1.704(d)

() The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

#### **Fees**

- (X) **No fee** is owed by the applicant(s).
- () The IDS Fee of \$180 under 37 CFR 1.17(p) is enclosed herewith.

### Method of Payment of Fees

- () Attached is a check in the amount of \$180.00.
- () Charge Deposit Account No. 06-1135 in the amount of \$ . (A duplicate copy of this communication is enclosed for that purpose.)

## **Authorization to Charge Additional Fees**

() If any additional fees are owed in connection with this communication, please charge Deposit Account No. 06-1135. (A duplicate copy of this communication is enclosed for that purpose.)

# Instructions as to Overpayment

- () Credit Account No. 06-1135.
- () Refund

Respectfully submitted, FITCH, EVEN, TABIN & FLANNERY

Date: June 30, 2004

Joseph E. Shipley Reg. No. 31,137

> Ramon R. Hoch Reg. No. 34,108

120 South LaSalle Street Suite 1600 Chicago, Illinois 60603-3406 (312) 577-7000

PTO/SB/08A Substitute for Form PTO-1449				Manusation Number	10/736,595
Substitute	, 10, 10, 111, 110-	1443		Filing Date	December 17, 2003
INFORMATION DISCLOSURE				First Named Inventor	ARORA et al.
I STA	ATEMENT	BY AF	PPLICANT	Art Unit	1761
(use as many sheets as necessary)				Examiner Name	Not Yet Assigned
Sheet	1	of	5	Attorney Docket	1410/77081

			U.S. PATENT D	OCUMENTS	
Examiner Initials *	Cite	Document Number  Number-Kind Code <sup>2</sup>	Publication Date	Name of Patentee or	Pages, Columns, Lines Where Relevant Passages
miciaio	110.			Applicant of Cited Document	or Relevant Figures Appear
		US- 2,316,207	04-13-1943	Winter	
	<b>├</b>	US- 2,958,472	11-01-1960	Erickson et al.	
	<u> </u>	US- 3,678,597	07-25-1972	Stuhlmann et al.	
		US- 3,768,172	10-30-1973	Klein et al.	
		US- 3,821,450	06-28-1974	Stauber	
_		US- 3,840,188	10-08-1974	Coombe et al.	
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		US- 4,553,704	11-19-1985	Wilson et al.	
		US- 4,582,264	04-15-1986	Stephanoff, deceased et al.	
		US- 4,860,461	08-29-1989	Tamaki et al.	
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		US- 4,934,608	06-19-1990	Sylla et al.	

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	T		
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Т		
		EP 0 787 528 B1	07-14-1999	Fischer		Γ		
		EP 1 136 129 A1	09-26-2001	Rowley		Γ		
		WO 01/21316 A1	03-29-2001	Clark		Γ		
		WO 98/51413	04-29-1998	Berg et al.		Γ		
		EP 0 213 645 A1	03-11-1987	Brandt		Г		
		WO 03/006166 A1	01-23-2003	Gomez				
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Filing Date	December 17, 2003
	ORMATION			First Named Inventor	ARORA et al.
STA	STATEMENT BY APPLICANT			Art Unit	1761
(use as many sheets as necessary)			ecessary)	Examiner Name	Not Yet Assigned
Sheet	2	of	5	Attorney Docket	1410/77081

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		US- 4,963,743	10-16-1990	Satake et al.	
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		US- 5,436,023	07-25-1995	Avera	
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Examiner Cite Initials* No.1	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	T		
	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T6			
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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				Filing Date	December 17, 2003
	DRMATION			First Named Inventor	ARORA et al.
SIA	TEMENT B	3Y AP	PLICANT	Art Unit	1761
(use as many sheets as necessary)			ecessary)	Examiner Name	Not Yet Assigned
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			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite	Document Number  Number-Kind Code <sup>2</sup>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages
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	<del>                                     </del>	US- 5,577,467	11-26-1996	Bormann	
ļ	ļ	US- 5,604,994	02-25-1997	Annen et al.	
	ļ	US- 5,609,097	02-11-1997	Newnan	
		US- 5,616,356	04-01-1997	Buhler et al.	
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite Initials* No.1	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	T			
	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T€			
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PTO/SB/08	BA for Form PTO-	1449		Application Number	10/736,595
Oubstitute	101 1 011111 1 10-	1443		Filing Date	December 17, 2003
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)				First Named Inventor	ARORA et al.
			PLICANT	Art Unit	1761
			ecessary)	Examiner Name	Not Yet Assigned
Sheet	4	of	5	Attorney Docket	1410/77081

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	Document Number  Number-Kind Code <sup>2</sup>	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages	
		US- 5,598,979	02-04-1997	Rowley, Jr.	or Relevant Figures Appea	
		US- 5,899,391	05-05-1999	Goehner et al.		
		US- 6,207,211 B1	03-27-2001	Wasserman et al.		
		US- 6,260,479 B1	07-17-2001	Friedrich et al.		
		US- 6,270,708 B1	08-07-2001	Gurol		
		US- 6,517,015 B2	02-11-2003	Rowley, Jr.		
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		US- 6,564,470 B2	05-20-2003	Krueger		
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		US- 6,607,768 B1	08-19-2003	Eichner		
		US- 2002/0027173 A1	03-07-2002	Polifka		
		US- 2002/0046475 A1	04-24-2002	Cho et al.		
		US- 2003/0080224 A1	05-01-2003	Rowley, Jr.		
		US-				

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Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>	MM-DD-YYYY			T <sup>6</sup>
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Examiner	Date	
Signature	 Considered	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute	ior Form F1O-14	449		Filing Date	December 17, 2003
INFORMATION DISCLOSURE				First Named Inventor	ARORA et al.
STATEMENT BY APPLICANT			PLICANT	Art Unit	1761
(use as many sheets as necessary)				Examiner Name	Not Yet Assigned
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		NON PATENT LITERATURE DOCUMENTS	-				
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					
		Huslin, A., "A Mighty Wind Against Waste," washingtonpost.com (Monday, December 9, 2002, pg. A01), a printout of: http://www.washingtonpost.com/ac2/wp-dyn?pagename = article&node = &contentID = A28285-2002Dec8&notFound = true, 6 pages, 02-16-2004.					
		Lewis, S., "Kansas Chicken Twisters," Engineers' Forum of Virginia Tech, a printout of: http://filebox.vt.edu/eng/forum/issue_archive/2003_2_april/12_chicken_twisters.htm, 2 pages, 11-13-2003.					
		Garofalo, F., Wichita Eagle Newspaper Article, 1 page, undated.					
ï		Ichiu, K., "Method For Roasting and Pulverizing Coffee Bean and Apparatus Therefor, Patent Abstracts of Japan, Publication No. 03219837 A, 09-27-1991, 1 page, JPO&Japio.					
		Sadakatsu, S., "Apparatus For Roasting and Grinding Coffee Bean, Patent Abstracts of Japan, Publication No. 03266965 A, 11-27-1991, 1 page, JPO&Japio.					
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		Haruyoshi, O., "Vortex Grinding and Vortex Grinding Device, Patent Abstracts of Japan, Publication No. 05277927 A, 10-26-1993, 1 page, JPO&Japio.					

Date Signature Considered

Examiner

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